

### REMARKS

This Preliminary Amendment cancels, without prejudice, claims 1 to 17 in the underlying PCT Application No. PCT/EP2003/010447 and adds new claims 18 to 35. The new claims, inter alia, conform the claims to United States Patent and Trademark Office rules and does not add any new matter to the application.

In accordance with 37 C.F.R. § 1.125(b), the Substitute Specification (including the Abstract) contains no new matter. The amendments reflected in the Substitute Specification (including Abstract) are to conform the Specification and Abstract to United States Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. §§ 1.121(b)(3)(ii) and 1.125(c), a Marked-Up Version of the Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. Approval and entry of the Substitute Specification (including Abstract) are respectfully requested.

The underlying PCT Application No. PCT/EP2003/010447 includes an International Search Report, dated December 30, 2003, a copy of which is included. The Search Report includes a list of documents that were considered by the Examiner in the underlying PCT application.

The underlying PCT Application No. PCT/EP2003/010447 also includes an International Preliminary Examination Report, dated November 15, 2004. An English translation of the International Preliminary Examination Report and annex thereto are included herewith.


It is respectfully submitted that the subject matter of the present application is new, non-obvious and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully submitted,

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[10901/95]

METHOD FOR OPERATING A POSITION-MEASURING DEVICE AND  
POSITION-MEASURING DEVICE SUITABLE TO THAT END**FIELD OF THE INVENTION**

The present invention relates to a method for operating a position-measuring device, as well as a position-measuring device ~~suitable to that end.~~

5

**BACKGROUND INFORMATION**

In position-measuring devices which are used in the automation sector, data is often transmitted between the position-measuring device and a downstream sequential electronics via digital, serial  
10 interfaces. In this context, on the side of the position-measuring device, an architecture is provided such that it includes a signal-generating unit which is connected to a communication unit via an internal interface unit. The communication with the sequential electronics in turn takes place via the communication  
15 unit. For example, with the aid of the signal-generating unit, analog, position-dependent signals are generated in ~~known~~ a conventional manner from the scanning of a suitable measuring graduation and suitably conditioned in order to be transmitted in serial form via the communication unit to the sequential  
20 electronics. The internal interface unit is provided in order to be able to flexibly combine greatly differing communication units with greatly differing signal-generating units within the framework of a modular system concept.

25 In such an architecture, the transmission of a measurement-data request instruction from the sequential electronics to the signal-generating unit and its execution by the signal-generating unit, i.e., the actual measured-value acquisition, must be regarded as fundamentally time-critical. For example, via the  
30 measurement-data request instructions, instantaneous positional data are fetched from the position-measuring device for control purposes. To ensure high control performance on the side of the

MARKED-UP VERSION OF THE  
SUBSTITUTE SPECIFICATION

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sequential electronics, it is desirable to acquire measurement data, or more precisely, positional data, in a manner as free of delay as possible.

5 European Published Patent Application No. 0 660 209 describes a position-measuring device to which a processing unit sends commands in the form of data words transmitted in bit-serial fashion, and as a function of these commands, measured position values or parameters are requested from the position-measuring  
10 device, or parameters are sent to the position-measuring device.

Furthermore, U.S. Patent Application Publication No. 2001/0001540 describes a position-measuring device in which, in response to a positional-data request, a measured position value is sent which  
15 is based on a measured position value that was already measured at an earlier point in time and mathematically corrected such that it corresponds to the instantaneous position value at the moment of the positional-data request. Disadvantageous in such a position-measuring device is that the accuracy of the measured  
20 position values depends upon the accuracy of the parameters needed for the correction, such as the time span between the acquisition of the measured position value and the arrival of a positional-data request, as well as the rate of change of the measured position values (e.g., traversing speed of a machine-tool axis monitored  
25 by the position-measuring device).

#### SUMMARY

~~Therefore, the object~~ In accordance with example embodiments of the present invention ~~is to indicate,~~ in a method for operating  
30 a position-measuring device as well as and a corresponding position-measuring device, where within the an architecture such as that described above, it ~~is~~ may be possible to ensure execution of a measurement-data request instruction on the side of the position-measuring device with as little delay as possible.

~~The first indicated objective is achieved by a method having the features set forth in Claim 1.~~

5 ~~Advantageous specific embodiments of the method according to the present invention are derived from the measures delineated in the claims dependent upon Claim 1.~~

10 ~~The second objective is achieved by a position-measuring device having the features set forth in Claim 8.~~

15 ~~Advantageous specific embodiments of the position-measuring device according to the present invention are derived from the measures delineated in the claims which are dependent upon Claim 8.~~

According to an example embodiment of the present invention, particularly e.g., with respect to the time-critical transmission to and execution of measurement-data request instructions by the signal-generating unit, it ~~is now~~ may be provided to bypass the internal interface unit of the position-measuring device and to transmit the corresponding instructions to the signal-generating unit in a ~~fashion~~ manner as free of further time delay as possible. An additional signal-processing time possibly resulting in the interface unit ~~can~~ may therefore be avoided for the time-critical measurement-data request instructions, ~~a.~~ A time-determined execution of measurement-data request instructions ~~is~~ may be ensured.

30 ~~The measures~~ Example embodiment of the present invention ~~can~~ may be used in conjunction with ~~the most~~ varied types of position-measuring devices, regardless of whether they are incremental or absolute position-measuring devices, and regardless of the specific scanning principle and  
35 signal-generating principle.

According to an example embodiment of the present invention, a method for operating a position-measuring device connected to sequential electronics via a communication unit, the position-measuring device including a signal-generating unit configured to generate positional data, includes: (a) transmitting data between the signal-generating unit and the communication unit via an internal interface unit; (b) transmitting measurement-data request instructions, transmitted from the sequential electronics to the position-measuring device, to the signal-generating unit to immediately generate measurement data, bypassing the internal interfacing unit; and (c) transmitting the positional data, generated in accordance with the measurement-data request instructions, from the signal-generating unit to the communication unit via the internal interface unit.

According to an example embodiment of the present invention, a position-measuring device, includes: a signal-generation unit configured to generate measurement data; a communication unit, the position-measuring device connected to sequential electronics via the communication unit; an internal interface configured to transmit data between the signal-generation unit and the communication unit; and a redirection device configured to transmit to the signal-generation unit measurement-data request instructions transmitted from the sequential electronics to the position-measuring device to immediately generate measurement data by bypass of the internal interface unit.

According to an example embodiment of the present invention, a position-measuring device includes: signal-generating means for generating measurement data; communicating means, the position-measuring device connected to sequential electronics means via the communicating means; internal interface means for transmitting data between the signal-generating means and the communicating means; and redirection means for transmitting to the signal-generating means measurement-data request instructions

transmitted from the sequential electronics means to the position-measuring device to immediately generate measurement data by bypass of the internal interface means.

Further advantages aspects and features of example embodiments of the present invention and details pertaining thereto are derived from described below in the following description of the attached drawing, whose figures show: with reference to the appended Figures.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 a ~~schematized~~ is a schematic block diagram of ~~one possible specific embodiment of the~~ a position-measuring device according to an example embodiment of the present invention in conjunction with a sequential electronics.

Fig. 2 a ~~preferred specific embodiment of~~ illustrates a position-measuring device according to an example embodiment of the present invention;

Fig. 3 is a signal diagram of the time sequence of a measurement-data request when working with a position-measuring device according to illustrated in Figure 2.

#### DETAILED DESCRIPTION

Figure 1 shows is a schematized block diagram of ~~one possible specific embodiment of~~ a position-measuring device 10 according to an example embodiment of the present invention in conjunction with a sequential electronics 100. In this context, for reasons of better clarity, the various components of the overall system are indicated only in highly ~~schematized fashion~~ schematic form.

For example, position-measuring device 10 may be in the form of a ~~known~~ conventional incremental or absolute position-measuring system used for determining the position of two objects movable

relative to each other, for instance example, on a machine tool. In such an application, a numerical machine-tool control acts as sequential electronics.

5 Data is transmitted between position-measuring device 10 and sequential electronics 100 via a data channel 50 in bidirectional, serial form. To that end, data channel 50 includes two first signal-transmission lines 51, 52, indicated in ~~schematized fashion~~ schematic form, via which data is transmitted in the direction  
10 indicated by the arrows. In principle, however, data channel 50 may also be constructed differently.

On the side of position-measuring device 10, to handle the data exchange with sequential electronics 100, a schematically  
15 indicated communication unit 12 is provided on the input side, which is responsible both for the transmission and the reception of the specific data to and from sequential electronics 100. Communication unit 12 may be constructed differently depending on the interface physics selected or the interface protocol used.  
20 That is to say, example embodiments of the present invention may be used in conjunction with widely varied interface ~~concepts~~ devices and, if desired, bus ~~concepts~~ devices.

In Figure 1, communication unit 12 is indicated in schematic form  
25 as merely a single unit, but in practice may have a markedly more complex ~~design~~ arrangement. Thus, in principle, the term communication unit ~~is intended~~ should be understood to include all necessary structural elements and components on the side of the position-measuring device that ~~are~~ may be needed for communication  
30 with the sequential electronics. In addition to interface-specific protocol components, line drivers, line receivers, transmitters, controllers, clock-data recovery modules, etc., they may include a plurality of further hardware and software elements, right up to the necessary plug-in connections, etc.

To generate the actual measurement data, position-measuring device 10 ~~of the present invention~~ also includes a signal-generating unit 11, ~~likewise only indicated in schematized fashion~~ illustrated in schematic form. Via it, measurement data, especially e.g., positional data, are generated in the position-measuring device. In this context, for ~~instance~~ example, positional data may be generated from the scanning of a measuring graduation ~~(not shown)~~ using a scanning unit that is movable relative to the measuring graduation and includes suitable scanning elements. Many different ~~known variants~~ conventional arrangements come into consideration as scanning principles, thus, for example, optical, magnetic, capacitive ~~or~~, inductive scanning, etc., via which in each case position-dependent analog signals are able to be generated. Moreover, the generated positional data may involve widely varied types of positional data, like such as, for instance, incremental positional data, absolute positional data, etc. Because of the diverse possibilities for generating the respective positional data, the signal-generating unit ~~was~~ is only ~~indicated~~ illustrated schematically in Figure 1.

Furthermore, signal-processing ~~means~~ unit 15, via which the generated -- for the most part analog -- measurement data or positional data are further processed, may optionally be disposed in respective position-measuring device 10. There are also widely varied possibilities for further processing the generated positional data, depending upon the type of position-measuring device and its application. For example, it may involve signal preprocessing, signal filtering and/or signal matching before, for ~~instance~~ example, an A/D conversion and subsequent digital signal processing are also carried out. Highly diverse methods may be provided within the framework of a digital signal processing as well, for example, a signal correction, a signal interpolation, a signal monitoring, a signal diagnosis, etc. Because of these varied possibilities for signal processing, signal processing ~~means~~ unit 15 is ~~again indicated~~ illustrated only schematically



in Figure 1, ~~consequently~~. Consequently, signal processing unit 15 may also include several components.

As ~~already~~ indicated ~~at the outset~~ above, position-measuring device 10 ~~of the present invention~~ also includes an internal interface unit 13. Internal interface unit 13 is not to be understood as a physical, but rather as a logic unit which is arranged between signal-generating unit 11 and optional signal-processing means unit 15 on one side and communication unit 12 on the other side. Internal interface unit 13 ~~proves to be useful,~~ particularly may be effective, e.g., with respect to a modular system design arrangement, since many different variants for signal generation ~~are then~~ may be able to be flexibly combined with the different signal-transmission principles in the direction of sequential electronics 100. In other words: widely different variants of signal-generating units 11 and, if desired, signal-processing units 15 may be flexibly combined with widely different communication units 12, depending on the application.

To that end, in ~~known~~ a conventional manner, internal interface unit 13 may be in the form of a bidirectional interface, many different interface architectures again coming into consideration. In Figure 1, this is ~~indicated~~ illustrated by an addressing channel 21 and a data-transmission channel 22.

~~Within the scope of the present invention, it is now~~ It may be provided to transmit ~~in particular~~ , e.g., the time-critical measurement-data request instructions RQ, which are transmitted from sequential electronics 100 via signal-transmission line 51 52 of data channel 50, to signal-generating unit 11, while bypassing internal interface unit 13, and to bring about their execution, i.e., the measurement-data acquisition, as promptly as possible at the signal-generating unit. As ~~indicated~~ illustrated in Figure 1, this is accomplished, for ~~instance~~ example, by transmitting measurement-data request instructions RQ via a

separate data channel 14 in the direction of signal-generating unit 11, thus not via internal interface unit 13 otherwise used for signal transmission in position-measuring device 10. For example, separate data channel 14 may be in the form of a separate connecting  
5 line which bypasses internal interface unit 13.

As is apparent from the two alternative, separate data channels 14.a, 14.b illustrated in Figure 1, provision may be made to send measurement-data request instructions RQ via data channel 14.a directly to the signal-generating unit, ~~alternatively.~~

Alternatively, it ~~is~~ may be possible to send measurement-data request instruction RQ via data channel 14.b to signal-processing unit 15. The latter may be provided, for ~~instance~~ example, when sequential electronics 100 requests the transmission of  
15 measurement data that are derived from the actual positional data. For example, it may involve the measurement data regarding acceleration or jerk that result from the derivation of the positional data, ~~the.~~ The suitable signal processing and signal conditioning from the positional data is then carried out in  
20 signal-processing unit 15.

For the bypassing of communication unit ~~12~~ 13 described, it ~~is~~ may be necessary to identify measurement-data request instructions RQ in the data stream transmitted by sequential electronics 100 and to separate them. For this purpose, position-measuring device 10 and communication unit 12, respectively, are assigned redirection  
25 ~~means~~ units in the form of a suitable unit 16 and a separate data channel 14 which takes over this function. In the incoming data stream on signal-transmission line 52, measurement-data request instructions RQ are identified, separated and redirected via data  
30 channel 14 in the direction of signal-generating unit 11, bypassing internal interface unit 13. To that end, the separated measurement-data request instructions RQ are suitably conditioned, thus permitting the desired, undelayed transmission to  
35 signal-generating unit 11. The delay otherwise resulting in

internal interface unit 13 because of the signal-processing time required there ~~is~~ may therefore be eliminated based on the measures ~~according to the present invention~~ described herein. Prompt measured-data acquisition ~~is~~ may be ensured on the side of position-measuring device 10.

Figure 2 ~~shows a preferred specific~~ illustrates an example embodiment of a position-measuring device 10 ~~according to the present invention~~ in more detail. For reasons of clarity, communication unit 12, as well as unit 16 which provides the redirection ~~means~~ for measurement-data request instructions RQ, ~~were~~ are illustrated as combined in one data-transmission unit 30. In the same way, signal-generating Signal-generating unit 11 and optional signal-processing unit 15 ~~were~~ are illustrated as combined to form one data-acquisition unit 31. Internal interface unit 13, which, as a logic unit, controls the data exchange between data-transmission unit 30 and data-acquisition unit 31, is only ~~indicated~~ illustrated schematically. For better understanding, identical or similar components are provided with the same reference numerals in all figures Figures.

Data is transmitted from data-transmission unit 30 to data-acquisition unit 31 via an addressing channel 21. In the reverse direction, thus from data-acquisition unit 31 to data-transmission unit 30, data is transmitted via a data-transmission channel 22.

Addressing channel 21 is used for sending data request instructions and for transmitting parameters from data-transmission unit 30 to data-acquisition unit 31. The data are transmitted synchronously with respect to the clock signal on an address clockline ADR\_CLK in the form of serial data packets via n addressing lines AS0-AS(n-1). The number n of addressing lines is arbitrary, powers of the number 2 (1, 2, 4, 8, ...) ~~usually~~ etc.) generally being selected in data technology. Further criteria are, for example,

the complexity of the transmission protocol used, the quantity of data to be transmitted, as well as the number of available connections at the data-transmission modules. In ~~this preferred~~ specific the illustrated example embodiment, ~~n=2 was~~ is selected.

In this context, the type of data requested from data-acquisition unit 31 is not limited to positional data or measurement data. Thus, for example, the sending of error messages, warnings and diagnostic values may also be initiated. In addition, parameters which ~~are~~ may be necessary for the operation of data-acquisition unit 31, e.g., correction values, may be transmitted via addressing channel 21.

Data-transmission channel 22 is used for transmitting requested data from data-acquisition unit 31 to data-transmission unit 30. For that purpose, m data lines D0-D(m-1), as well as one data clockline DATA\_CLK are provided. The number m of data lines ~~is~~ may also be arbitrary; ~~the same~~ . Similar selection criteria ~~are~~ may be applicable as for the number n of addressing lines. In the example described, m=4.

Data is transmitted on data lines D0-D(m-1) synchronously with a clock signal on data clockline DATA\_CLK. In this context, it ~~is particularly advantageous if~~ may be provided that the clock signal of address clockline ADR\_CLK, delayed by the signal propagation time in data-acquisition unit 31, is used as the clock signal on data clockline DATA\_CLK, since in this ~~way~~ manner, a clock signal may easily be obtained for the synchronous data transmission, and therefore it ~~is~~ may not be necessary to generate a separate clock signal in data acquisition unit 31. The delay between the clock signal of address clockline ADR\_CLK and the clock signal on data clockline DATA\_CLK ~~is usually~~ may be very small, and in Figure 3, is only ~~indicated~~ illustrated by point of time t1', which corresponds to point of time t1 delayed by the signal propagation time.

As described above, measurement-data request instructions RQ are identified from the data stream transmitted by sequential electronics 100, separated and conducted via separate data channel 14 to data-acquisition unit 31. The time gain resulting from this arrangement is ~~clearly-discernible~~ **illustrated** in Figure 3.

Figure 3 ~~shows~~ **illustrates** a signal diagram of the time sequence of a measurement-data request. First, at point of time t0, a falling edge on separate data channel 14 signals to data-acquisition unit 31 a measurement-data request instruction RQ, and the measurement-data acquisition is started without further time delay. Only after a certain time, which is a function of the processing time in data-transmission unit 30, is a clock signal started on address clockline ADR\_CLK at point of time t1. As of point of time t2, a serial data packet having the information about the type of requested data is transmitted from data-transmission unit 30 to data-acquisition unit 31 via lines AS0 and AS1 synchronously with the clock signal on address clockline ADR\_CLK.

Since when working with a position-measuring device without the redirection measures ~~of the present invention~~ **as described herein**, point of time t1 is the earliest point of time at which a measurement-data acquisition ~~can~~ **may** be started, the time gain of the position-measuring device ~~according to the present invention~~ is calculated from the difference between t1 and t0.

When the requested data is ready in data-acquisition unit 31 at point of time t3, the transmission to data-transmission unit 30 begins via data lines D0 - D3 in the form of a serial data packet. Without the measures ~~of the present invention~~ **as described herein**, point of time t3 ~~would~~ **may** be delayed by the difference between t1 and t0, i.e., the transmission of data could only begin perceptibly later. As already described, the transmission

proceeds synchronously with respect to the clock signal on data clockline DATA\_CLK. When the data transmission is ended at point of time t4, a rising edge on separate data channel 14 signals that measurement-data request instruction RQ is executed. At the end  
5 of the data transmission, the clock signals on address clockline ADR\_CLK and data clockline DATA\_CLK are also stopped.

The structure of the serial data packets ~~can~~ may be a matter of choice. For example, in addition to the data that is actually to  
10 be transmitted, they may also ~~contain~~ include information concerning the contents and size of the data packet, status information or checksums.

Since the communication between data-acquisition unit 31 and  
15 data-transmission unit 30 proceeds on two separate data channels, it is possible to request further data even before the end of the data transmission.

Besides the ~~elucidated exemplary embodiments, there are, of course,~~  
20 ~~other embodiment alternatives within the scope of the present invention~~ exemplary embodiments described above, there are other alternative example embodiments within the scope hereof.

# ABSTRACT

5 In a method for operating a positional measurement device and a  
corresponding positional measurement device, the device includes  
a signal generation unit configured to generate positional data  
and is connected to sequential or follower electronics by a  
communication unit. Data is transmitted between the signal  
generation unit and the communication unit via an internal  
interface unit, whereas measurement-data request instructions,  
which are transmitted by the sequential or follower electronics  
10 to the positional measurement device, are transmitted to the signal  
generation unit by bypassing the internal interface unit.